



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,535	01/18/2001	Junming Le	0975.1005-010

## CONFIRMATION NO. 1000

## FORMALITIES LETTER



\*OC000000006168118\*

Carolyn S. Elmore  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
Two Militia Drive  
Lexington, MA 02421-4799

Date Mailed: 06/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/20/2001 09766535

01-FC:101  
02-FC:105740.00 IP  
130.00 IP

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- o A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required

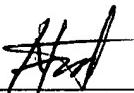
by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0076635 322601

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Junming Le, Jan Vilcek, Peter Daddona, John Ghrayeb,  
David M. Knight, Scott Siegel

Application No.: 09/766,535 Group: 1642

Filed: January 18, 2001 Examiner: Unknown

For: ANTI-TNF ANTIBODIES AND PEPTIDES OF HUMAN TUMOR  
NECROSIS FACTOR



## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents,

Washington, D.C. 20231

on 12/11/01

*Christina M Sweeney*

*Christina M Sweeney*

Typed or printed name of person signing certificate

Date Signature

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated June 11, 2001, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

**EXECUTED DECLARATION FOR PATENT APPLICATION**

(Separate transmittal letter and postcard not required)

**POWER OF ATTORNEY DOCUMENT**

**GRANTED BY INVENTOR(S)**

**GRANTED BY ASSIGNEE, including Statement under 37 C.F.R. 3.73(b)**

(Separate transmittal letter and postcard not required)

**PRELIMINARY AMENDMENT**

(Separate transmittal letter and postcard not required)

**FILING FEE - with Fee Transmittal for Patent Applications in duplicate**

Fee calculations based on:

Claims as originally filed

Claims upon entry of the Preliminary Amendment filed [herewith] [with the application]

(Separate transmittal letter and postcard not required)

**SURCHARGE - surcharge fee of \$130.00**

(Separate transmittal letter and postcard not required)

12/28/2001 MHODMA1 00000026 09766535  
1440.00 QP  
03 FC:118

**SEQUENCE LISTING** - Filed concurrently and is attached*(Separate transmittal letter and postcard required)* **TRANSMITTAL OF SUBSTITUTE DRAWINGS**

1-37 Sheets of substitute drawings consisting of Figs. 1-7, 8A-8B, 9A-9B, 10A-10B, 11-13, 14A-14B, 15, 16A-16B, 17-25, 26A-26B, 27-30, 31A-31C, 32, 33A-33H and 34 are enclosed

*(Separate transmittal letter and postcard not required)* **PETITION FOR EXTENSION OF TIME**

Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated June 11, 2001 for four months from August 11, 2001 to December 11, 2001. The appropriate fee of \$1,440 is included in the enclosed check

A [ ] month extension of time to respond to the Notice to File Missing Parts dated [ ] was filed on [ ] with payment of a \$[ ] fee

Applicant hereby petitions for an additional [ ] month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$[ ] is included in the enclosed check

*(Separate Petition for Extension of Time and postcard not required)* **REQUEST FOR CORRECTED FILING RECEIPT** - Filed concurrently and is attached*(Separate transmittal letter and postcard required)* **STATEMENT CLAIMING SMALL ENTITY STATUS**

Was filed on [ ]

Is enclosed herewith

*(Separate transmittal letter and postcard not required)*

In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$[ ] which were paid on [ ] to be deposited in Deposit Account No. 08-0380

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$2,310.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Deidre E. Sanders  
Deidre E. Sanders  
Registration No.: 42,122  
Tel.: (978) 341-0036  
Fax: (978) 341-0136

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>  		Attorney Docket Number	0975.1005-010		
		Application Number	09/766,535		
		First Named Inventor	Junming Le		
CLAIM CALCULATION (includes any preliminary amendment)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	3 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	1 - 3** =	0	x \$ 84 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 280 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 740
				Total of above Calculations =	\$ 740
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =			\$	
				TOTAL =	\$ 740
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =			\$	130
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =			\$	1440
	Assignment Recordation Fee = (only when filed with application)			\$	
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 2310
1. Small entity status: a. <input type="checkbox"/> A small entity statement is enclosed. b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired. c. <input type="checkbox"/> Is no longer claimed.					
2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.					
3. <input checked="" type="checkbox"/> A check is enclosed for \$2,310.00. <input type="checkbox"/> Please charge \$[ ] to Deposit Account No. 08-0380.					
4. <input type="checkbox"/> Other: _____ _____					
Signature	<i>Deidre E. Sanders</i>			Date	<i>December 11, 2001</i>
Submitted by Typed or Printed Name	Deidre E. Sanders			Reg. Number	42,122